

THE LEADERS GROUP CODE OF ETHICS

As a securities industry professional and representative of The Leaders Group, Inc., I believe in, and shall abide by, the following principles:

- I shall strive to enhance the prestige of my profession by always representing myself and my firm with the highest integrity.
- I shall accurately and honestly present all information necessary to enable my clients to make informed decisions.
- I shall comply with all laws and regulations governing my business and professional activities.
- I shall respond promptly to the inquiries, service requests and concerns of my clients and shall always place their interests above my own interests.
- I shall respect the confidentiality of information regarding my client's personal and business information. I shall exercise the highest integrity in cooperating with all others who serve the needs of my clients.
- I shall maintain and increase my professional knowledge, skills and competence through continuing education.
- I shall allow my professional conduct to be reviewed by my clients and my company to ensure consistency with the above principles.